Case 1:07-cv-09606-NRB Document 51

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION, Plaintiff,

-against-

OLEKSANDR DOROZHKO,

Defendant.

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07 CIVIL 9606 (NRB)
JUDGMENT

#10,0492

Whereas the above captioned action having come before this Court, and the matter having come before the Honorable Naomi Reice Buchwald, United States District Judge, and the Court, on March 23, 2010, having rendered its Order granting summary judgment to the plaintiff, permanently enjoining defendant from violating Section 10(b) of the Securities Exchange Act of 1934, 15 U.S.C. 78j(b) and Rule 10b-5 thereunder, 17 C.F.R. section 240.10b-5, ordering defendant to fully disgorge his gains from his conduct at issue here, including \$286,456.59 in net profits from his trades in IMS Health put options and \$6,930.94 in prejudgment interest paid to his account at Interactive Brokers, and ordering defendant to also pay \$286,456.59 as a civil penalty, it is,

ORDERED, ADJUDGED AND DECREED: That for the reasons stated in the Court's Order dated March 23, 2010, summary judgment is granted to the plaintiff; the Court permanently enjoins defendant from violating Section 10(b) of the Securities Exchange Act of 1934, 15 U.S.C. 78j(b) and Rule 10b-5 thereunder, 17 C.F.R. section 240.10b-5; the Court orders defendant to fully disgorge his gains from his conduct at issue here, including \$286,456.59 in net profits from his trades in IMS Health put options and \$6,930.94 in prejudgment interest paid to his account at Interactive Brokers; and the Court orders defendant to also pay \$286,456.59 as a civil penalty.

Dated: New York, New York

March 29, 2010

J. MICHAEL McMAHON

Clerk of Court

BY:

Deputy Clerk

THIS DOCUMENT WAS ENTERED ON THE DOCKET ON _____